Politically Exposed Person (PEP)

Self-Certification Form

Please kindly complete this PEP Statement <u>only if you meet the statutory criteria</u> indicated below, and then return the signed PEP Statement to the following address: ZENKA FINANCE – SMC LTD APARTMENT 19, UGANDA HOUSE , PLOT 8/10, KAMPALA ROAD, P.O.BOX 142399 KAMPALA - UGANDA

Name and surname	
ID number	

In accordance with the Anti-Money Laundering Act, Cap 118(AML) legislation there is an obligation to undertake Enhanced Customer Due Diligence (ECDD) on those clients who are classified as a Politically Exposed Person (PEP).

Please read the definition below carefully and if you are PEP, sign the declaration at the bottom of the form and return this form to our offices.

It is your obligation to inform us of a change to your status as a PEP or Non PEP should it change at any time in the future.

According to the Act, PEP is defined as a person who holds, or has held at any time in the last year (please tick the relevant box, if it applies to you):

- ❑ prominent public function including:
- ❑ head of state, head of Government, Government minister, deputy or assistant Government minister;
- ❑ a member of parliament;
- ❑ a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal;
- ❑ a member of a court of auditors or the board of a central bank;
- ❑ an ambassador, chargé d'affairs or high-ranking officer in the armed forces;
- ❑ is a member of the administrative, management, or supervisory body of a state-owned enterprise.

In what jurisdiction is/was the position held? _____

During what time period is/was the position held? Starting year:_____ Ending year: _____

PEP Close Associates and Family

The legislation extends the requirement to apply ECDD to an immediate family member, or a close associate, of a PEP.

A **"close associate"** is defined by the legislation to include any of the following persons(please tick the relevant box, if it applies to you):

- ❑ Any individual who has joint beneficial ownership of a legal entity, or a legal arrangement, or close business relationship, with a PEP;
- ❑ Any individual who has sole beneficial ownership of a legal entity, or legal arrangement set up for the actual benefit of a PEP.

If you tick the "close associate" relation with PEP please describe the nature of the association:

_____

An **"immediate family member"** of a PEP includes any of the following persons (please tick the relevant box, if it applies to you):

- ❑ Any spouse of the PEP;
- ❑ Any person who is considered to be the equivalent to a spouse of the PEP;
- ❑ Any cohabitant of the PEP;
- ❑ Any child of the PEP;
- ❑ Any spouse of the child of a PEP;
- ❑ Any person who is considered to be the equivalent to a spouse of a child of the PEP;
- ❑ Any cohabitant of a child of the PEP;
- ❑ Any parent of the PEP;
- ❑ Any other family member of the PEP who is of a prescribed class.

If you tick the "immediate family member" please provide the following data of this member:

Name and surname	
Position	
Relationship with you	

Date	
Signature	